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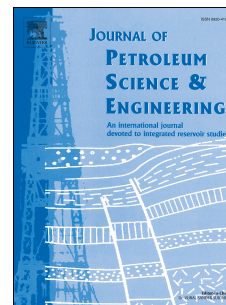
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**Moazzeni Alireza:** Conceptualization, Methodology, Software, Data curation, Writing- Original draft preparation, Visualization, Investigation.

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# Rain Optimization Algorithm (ROA): a new metaheuristic method for drilling optimization solutions

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## Abstract

With the development of powerful computers, some new methods for solving challenging problems are introduced. Some of these methods, which are called metaheuristic algorithms, such as bat algorithm or ant colony algorithm, are inspired by nature and simulate a natural phenomenon for finding the best solution. Today these algorithms with a robust approach can be used to find the solution of a complicated problem very fast although they might be trapped in the local minimums. Rain optimization algorithm (ROA) is a new metaheuristic algorithm that is inspired by the raindrops, which move toward minimum points after getting to the earth. This algorithm can find global extremum as well as local extremums if its parameters are correctly tuned. After the implementation of this algorithm, we compare it with some other existing optimization algorithms such as particle swarm optimization algorithm and bat algorithm by solving 26 benchmarks and three benchmarks in various dimensions as well as a drilling optimization problem. Simulations illustrate the performance and computational time in finding the global minimum. Also, ROA can find local minimum simultaneously and it can be confidently used in optimization problems.

**Keywords:** *rain optimization algorithm, drilling rate, drilling optimization, machine learning*

## 1-Introduction

Drilling operation is a response to the oil and gas energy demand in the world. There are many drilling optimization problems in the various sequence of drilling operations such as casing design, drilling rate optimization, drilling cost minimization, bit size selection, bit type selection, directional drilling design, mud weight design, wellbore stability, and so on. Many of these optimization problems are highly nonlinear and have several local minima that make it difficult to solve these problems with traditional methods but metaheuristic optimization algorithms can solve many of these problems.

Metaheuristic optimization algorithms raindrops to solve many complex global problems in other fields (Annicchiarico et al., 2005; Gandomi et al., 2013). These algorithms try to simulate natural phenomena to find a fast and effective solution for complicated problems by using iterative sequences (Talbi, 2009).

In recent years, the researchers develop several population-based metaheuristic optimization algorithms. Some of most famous of them are as follow: the genetic algorithm which is developed based on Darwin's theory by Golberg (1989), Differential Evolution that was introduced by Storn and Price (1995, 1997), the Particle Swarm Optimization algorithm by Kennedy and Eberhart (1995), Harmony Search (Geem et al., 2001), Bacterial Foraging Optimization Algorithm (Passino, 2002), Estimation of Distribution Algorithms (Larrañaga and Lozano, 2002), Artificial Bee Colony algorithm (Basturk and Karaboga, 2006; Karaboga, 2005; Karaboga and Basturk, 2007, 2008), Firefly Algorithm (Yang, 2008, 2009), League Championship Algorithm (Kashan, 2009), Group Search Optimizer (He et al., 2009), Ant Colony Optimization (Dorigo and Birattari, 2010), Cuckoo Search algorithm (Gandomi et al., 2011), Krill Herd algorithm (Gandomi and Alavi, 2012), Artificial Chemical Reaction Optimization Algorithm (Alatas,

2012), Stochastic Fractal Search (Salimi, 2014), Symbiotic Organisms Search (Cheng and Prayogo, 2014), Optics Inspired Optimization (Husseinzadeh Kashan, 2015) and Sperm Whale algorithm (SWA) (Ebrahimi and Khamechi, 2016) that are characterized by their names.

In this paper, we introduce a new metaheuristic algorithm, namely rain optimization algorithm (ROA), inspired by the natural behavior of rain droplets and raining phenomena for finding minimum locations in the earth's surface. We will first formulate the rain algorithm based on the natural behavior of the rain droplets. Then we will declare how it works and compares the proposed method with existing algorithms such as the genetic algorithm. In the end, the results of this algorithm will be discussed in detail by solving an optimization drilling problem.

## 2- Basic of rain behavior

When it starts raining, droplets of rainfall on the earth's surface. After a while, it can be seen that some of these droplets joint to each other and some more significant droplets forms which can move on the surface under the effect of their weight toward the lower locations of the earth's surface. In their path, some other marvelous happening would occur for these droplets too. Some of the other droplets might move toward the previous droplet and joint to it, or some fraction of each droplet might be evaporated or absorbed by the soil depending on different properties of the soil such as nature of the soil surface, porosity, permeability, wettability etc. Also, some of the soil would be dissolved in the water. In this process, droplets that are dropped on the flat area might be absorbed to the soil completely and disappear while dropped droplets on the inclined area will move downward and connect to other droplets to form a stream. Being lucky, some streams might connect to each other and form a river. If there is an obstacle in the path of the streams or rivers, some lakes will be created in which the volume of the water implies the importance of it. Very soon after finishing the rain, streams and rivers would be discharged to the local lakes, and after a while, small lakes might be vanished due to evaporation of water in the lake or absorption to the soil. Therefore, just a few significant lakes can be remained in the ground depending on the topology of the earth's surface and properties of the soil. These lakes show the local minimum of the ground surface and deeper lake shows the global minimum.

By changing the type of rain, the previously mentioned scenario might be changed a little. For example, if it is heavy rain with large droplets, all of the droplets will be connected to each other very fast without any absorption or evaporation resulting in a flood. In this case, just the global minimum can be detected as all local minimum are connected to each other due to a rainstorm. On the other hand, when there is a light rain with small droplets, all of the droplets might absorb to the soil resulting in no stream formation. Therefore, it can be realized that parameter tuning has significant importance while using ROA.

The movement of the particle in the proposed method is similar to gradient-based optimization methods and that of traditional single-point algorithms such as hill-climbing (HC) and gradient-descent and Rain-Fall Optimization algorithm (RFO) (Aghay Kaboli et al., 2016). These methods adjust only one parameter in each iteration to find if changing this parameter improves cost function or not. However, ROA uses a set of answers that all of them move toward the optimum simultaneously. In this movement, some of their properties will change in each iteration. For example, their size might change or they might eliminate. In addition, ROA is able to find all extremum points instead of just a minimum or maximum.

### 3-ROA algorithm

In this section, we would try to simulate rain behavior as it was described in the previous section. Each solution of the problem can be modeled by a raindrop. Depending on the problem, some points in the answer space can be selected randomly as the raindrops fall in the ground randomly. The main property of each drop of rain is its radius. The radius of every raindrop can be reduced as time goes by and it can be increased as a raindrop is connected to other drops. When the initial population of answers is produced, the radius of each droplet can be assigned randomly in an appropriate range. In each iteration, every droplet checks its neighborhood dependent on its size. Single droplets that are not still connected to any other droplet, just check for the end limit of the place that it has covered. When we are solving a problem in n-dimensional space, every droplet consists of n variable. So at the first step, the lower and upper limit of variable one will be checked as these limits would be determined by the radius of the droplet. At the next step, two endpoints of variable two would be tested and this is continued until the last variable. In this stage, the cost of the first droplet would be updated by moving it downward. This is not the end action for this droplet and while cost function is reducing, it will move downward in the same direction. This action will be performed for all droplets, then the cost and position of all droplets will be assigned. The radius of each droplet will be changed in two manners:

- 1- If two droplets with radius  $r_1$  and  $r_2$  are so close to each other that has a common area with each other; they can connect to form a larger droplet of radius R:

$$R = (r_1^n + r_2^n)^{1/n} \quad (1)$$

Where n is the number of variables in each droplet.

- 2- If a droplet with radius  $r_1$  does not move, depending on the soil properties, which is shown by  $\alpha$ , some volume percentage of it can be adsorbed.

$$R = (\alpha r_1^n)^{1/n} \quad (2)$$

In fact,  $\alpha$  shows the percentage of the volume of a droplet which can be absorbed in each iteration and is a number between 0 to 100 percent. We also can define a minimum for droplets radius  $r_{\min}$ , where droplets with a smaller radius of that  $r_{\min}$  will disappear.

As it can be considered, the population number would be decreased after a few iterations and larger droplets will be developed with a larger domain of investigations. By increasing the domain of investigation for each drop, the local searching ability of drops is increased proportionally to the diameter of the droplets. Therefore by increasing the number of iteration, weak droplets with a low domain of investigation disappear or connect to stronger drops with a higher domain of investigation and the initial population will decrease intensively caused increasing speed of finding the correct answer(s).

It should be considered that there are some important differences between the proposed optimization algorithm in this work Rain Optimization Algorithm (ROA) and the recently developed search algorithm by Aghay Kaboli et al. (2016) named Rain Fall Algorithm (RFA) which can be summarized as follow:

- In the ROA despite RFA and many other search algorithms, initial population number changes after each iteration due to the connection of adjacent drops or adsorption by the soil. This issue leads to an increase in the searching ability of the algorithm and decreases the optimization cost seriously.
- After each iteration size of each drop changes due to the connection of near droplets or adsorption by the soil. This action changes the searching ability of each droplet and categorizes the droplets from the viewpoint of importance.

- In the RFA and many other search algorithms, in each iteration, each population would be comprised by some other random neighbor points and the droplet would be improved one step randomly. On the other hand, in the ROA, each population finds the best path to the minimum point. After finding the path, it moves toward the downside step by step while the cost function is decreasing just in one iteration. This causes the initial population to leave the incompetent points very fast.

Based on the approximations and idealizations mentioned above, rain algorithm can be summarized in Figure 1. Briefly, tuning parameters of this algorithm such as initial raindrops number (population number), initial raindrops radius (search space for each population), etc., will be entered in the first part of the algorithm. Then a value would be assigned to each droplet according to the cost function. After that, each droplet starts to move downward. For this issue, the endpoints of each droplet would be checked by the cost function. When a droplet starts to move, it will continue its route until getting to a minimum in its way. This scenario would be repeated for each droplet. In their path, near droplets could joint with each other, causing algorithm speed to increase significantly. When a droplet stops to a minimum point, its radius starts to reduce gradually causing the accuracy of the answer to increase notably. In this method, the algorithm is able to find all extremum points of the objective function. A simple version of the implementation of the ROA can be found in Appendix A.

#### Rain Optimization Algorithm

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objective function  $f(X)$ ,  $X=(x_1, x_2, \dots, x_n)$ 

Input initial tuning parameters such as population number (nPop), maximum iteration (MaxIt), number of variables (nVar), the domain of variables ([VarMin, VarMax]), initial droplet's radius (InitR) and number of jointed droplets (size), rain speed (Speed) and Soil adsorption Constant( $\alpha$ ).

Initialize droplets position, radius and size.

Evaluate each droplet with the objective function to obtain the cost of each droplet and sort population based on cost.

Main loop:
  While (iteration number < MaxIt)
    For( each droplet)
      Change each variable  $x_i$  to  $x_i+R_i$  and  $x_i-R_i$  and evaluate the new position by the objective function.
      If the new cost is smaller then the previous cost, accept a new position for  $x_i$ .

      while (cost reduces)
        move the droplet at the same direction with the same velocity,
        reduce size of droplet depending on the soil adsorption properties
        joint near droplets to each other, change size of new droplets
      end while

    end for
    omit weak droplets depending on soil adsorption
    generate new droplets depending on rain speed
  end while

Sort populations based on cost.

Show results and visualizations.

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Figure 1: Pseudocode for Rain Optimization Algorithm (ROA)

## 4- Validation and comparison

It is not hard work to implement the rain algorithm from the mentioned steps in the previous section using any programming language. We implemented this algorithm using Matlab software for various test functions to visualize the results and compare it with other metaheuristic algorithms. As it was emphasized before, the strong point of this algorithm is in finding the local minimums with a high degree of accuracy, and this is what other algorithms cannot do it so easily. For validating and testing,

standard tools have been used, in a similar way to test other new algorithms such as bat algorithm (yang, 2010). Therefore, we have considered the performance of this algorithm from three perspectives:

Perspective 1: considering the performance of the ROA using two benchmark functions in detail

Perspective 2: considering its performance on solving 26 benchmark functions regardless of the number of function evaluation (NFE) compared to some other optimization algorithm

Perspective 3: considering its performance in solving drilling optimization problems

#### 4.1 method of performance of ROA

We have chosen the following functions as the benchmark functions for considering the method of solving a problem using ROA:

1- Eggcrate function

$$z = x^2 + y^2 + 25(\sin^2(x) + \sin^2(y)), \quad -5 < x < 5, \quad -5 < y < 5 \quad (3)$$

Figure 2 shows Eggcrate function in 3D view within the defined domain for x and y. we know that this function has a global minimum of zero at x=0 and y=0. Also following local minimum can be determined for this function:

$$x=0, y=3, z=9.5;$$

$$x=3, y=0, z=9.5;$$

$$x=-3, y=3, z=9.5;$$

$$x=-3, y=0, z=9.5;$$

$$x=3, y=3, z=9.5;$$

$$x=-3, y=-3, z=9.5;$$

$$x=0, y=-3, z=9.5;$$

$$x=3, y=-3, z=9.5;$$

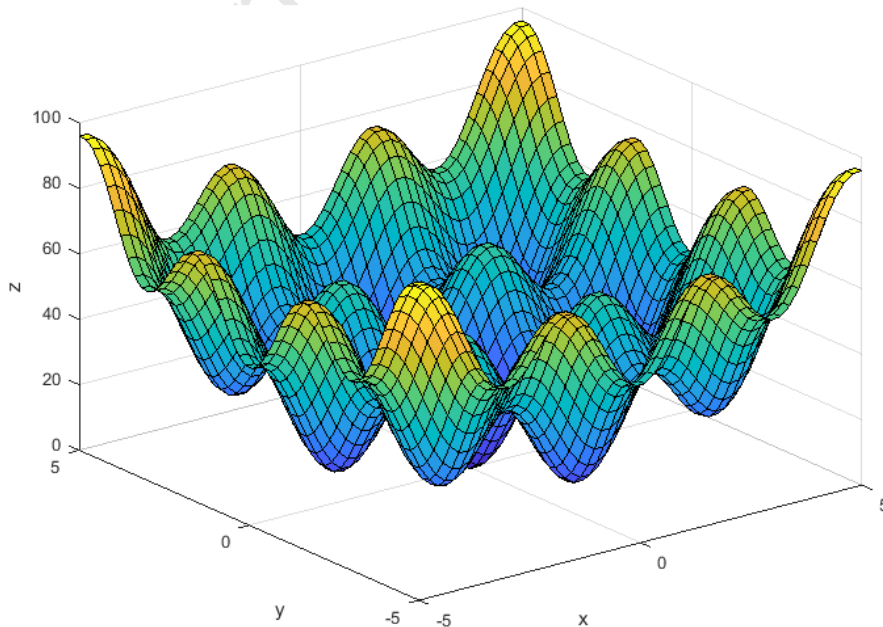


Figure 2: 3D plot of Eggcrate function

We run the ROA algorithm for finding global and local minimums with the following algorithm parameter:

Initial raindrops number= 1000;

Variables number of each raindrop=2;

Maximum iteration= 100;

Initial raindrops diameter=0.038;

Initially created droplets are distributed on the problem area as it can be seen in Figure 3; also, the location of raindrops in iteration 1 to 30 can be seen in Figure 4(a). Droplets of the latest iterations are darker and jointed droplets have a larger diameter. As it is obvious from Figure 4(b), the raindrops are running from the maximum points toward the minimums and in their route, some droplets joint to create streams. The route of the raindrops after 100 iterations is shown in Figure 4(b). After 100 iterations just 70 droplets with various size remain on the surface, some of these droplets are very big and has created some lakes. It is obvious that these lakes are local minimums of the function and the deepest one is the global minimum. The results of the algorithm are shown in Table 1. Some small and unimportant lakes can be seen in Figure 4(b) and also in Table 1. If we run the algorithm for more iterations, these small lakes will move toward local minimums or might absorb to the soil, although this is not important and from the magnitude of the lakes we can find out which lake is more important.

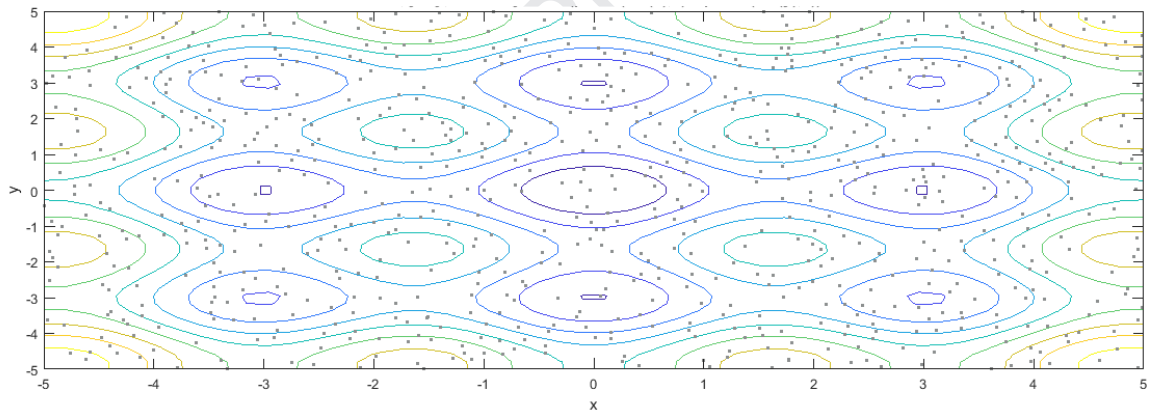


Figure 3: distribution of initial population on the problem area

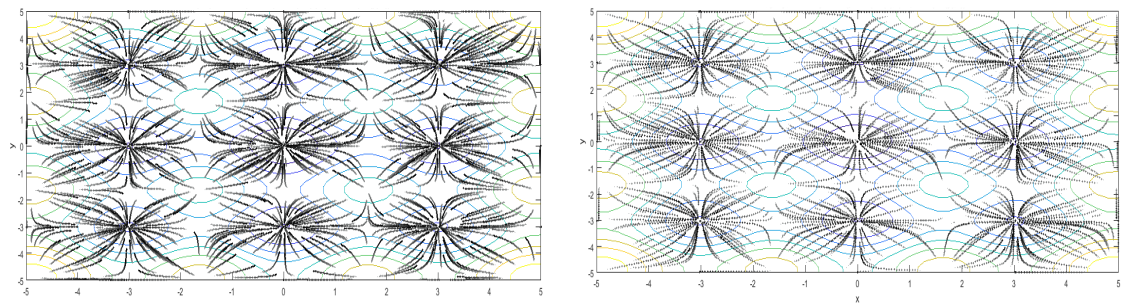


Figure 4(a): the moving path of the raindrops after 30 iterations on the problem area (left), 4(b): the moving path of the raindrops after 100 iterations on the problem area (right)



Table 1: position and size of rain droplets after 100 iteration

X	Y	Z	lake radius	Jointed droplet
0.00	-3.02	9.488197	0.497675	43
-3.02	-3.02	18.97639	0.467846	38
0.00	0.00	8.65E-35	0.448999	35
-3.02	3.02	18.97639	0.422564	31
0.00	3.02	9.488197	0.422564	31
3.02	0.00	9.488197	0.408705	29
-3.02	0.00	9.488197	0.39436	27
3.02	3.02	18.97639	0.363978	23
3.03	-3.01	18.97931	0.131453	3
3.05	3.97	38.86377	0.107331	2
-2.34	0.00	18.33812	0.107331	2
-2.37	0.53	24.6003	0.107331	2
3.55	0.00	16.60245	0.107331	2
0.47	3.02	14.8654	0.107331	2
0.01	4.11	33.88256	0.107331	2
3.02	3.47	24.23667	0.107331	2
-2.59	0.00	13.56718	0.107331	2
-3.02	0.40	13.44619	0.107331	2
0.00	5.02	47.90627	0.107331	2
3.04	3.86	35.26616	0.107331	2
-2.97	0.90	25.57681	0.107331	2
0.01	0.73	11.53468	0.107331	2
3.48	3.02	24.27566	0.107331	2
0.01	-2.13	22.54699	0.107331	2

## 2- Rosenbrock's function:

Rosenbrock's function was introduced by Howard Rosenbrock in 1960 (Rosenbrock, 1960) and has a global minimum inside a long, narrow, parabolic shaped flat valley and can be defined by

$$f(x) = \sum_{i=1}^{d-1} (1 - x_i^2)^2 + 100(x_{i+1} - x_i^2)^2, \quad -2.048 < x_i < 2.048 \quad (4)$$

Rosenbrock's function has a global minimum at  $(x_1, x_2) = (1, 1)$ , where  $f(x)=0$ . Figure 5 shows Rosenbrock's function in 3D view within the defined domain for x and y with  $a=1$  and  $b=100$ .

Figure 5 shows the shape of Rosenbrock's function in 3D view and Figure 6(a) shows the initially selected population for solving the problem which is produced randomly. The results of the algorithm after 100 iterations are shown in Figure 6(b).

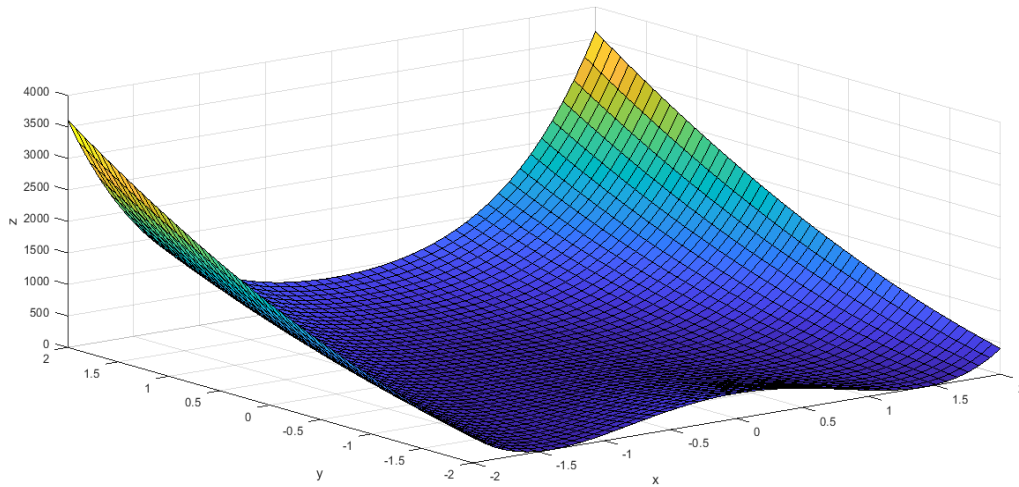


Figure 5: Rosenbrock function in 3D view within the defined domain for  $x$  and  $y$  with  $a=1$  and  $b=100$

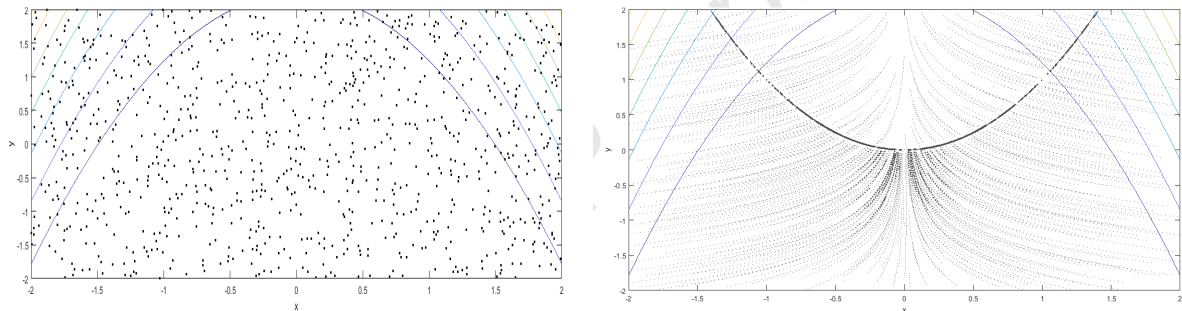


Figure 6(a): Rosenbrock function in 2D view and position of the initial population (left), 6(b): Results of the rain algorithm after 100 iterations (1000 rain droplets and Rosenbrock's function) (right)

As it can be noticed from the produced answer for Egg crate and Rosenbrock functions, in the rain algorithm, initial populations move gradually and slowly move toward the minimums and in their path can joint to each other to form larger droplets with more local searching ability. In addition, weak droplets that cannot improve themselves would be absorbed into the soil and vanished. Therefore, at the initial iteration, we have a lazy algorithm that should check lots of probable answers, but just after a few iteration lots of these answers or droplets will joint to each other or absorbed to the soil and speed of the algorithm will increase rapidly.

#### 4.2 solving 26 benchmark functions

As it can be seen in Table 2, we choose 26 important benchmark functions and solve them using Rain Optimization Algorithm and compare its efficiency with some other optimization algorithms such as Genetic algorithm (GA), Particle swarm (PSO), bat algorithm (BA) and Sperm Whale Algorithm (SWA) (Cheng and Lien, 2012). These problems were solved and published by Cheng and Lien (Cheng and Lien, 2012) except SWA that was solved by Ebrahimi and Khamechi (Ebrahimi and Khamechi, 2016). Optimization algorithm parameters that are used for solving these benchmark functions can be found in Table 3.

Table 2: details of benchmark functions (Ebrahimi &amp; Khamehchi, 2016)

No	Name	Range	D	Formulation	Min
1	Rastrigin	[-5.12,5.12]	n	$f_1(x) = 10n + \sum_{i=1}^n [x_i^2 - 10\cos(2\pi x_i)]$	0
2	De Jong (Sphere)	[-5.12,5.12]	n	$f_2(x) = \sum_{i=1}^n x_i^2$	0
3	Griewank	[-600,600]	n	$f_3(x) = \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$	0
4	Beale	[-4.5,4.5]	2	$f_4(x) = (1.5 - x_1 + x_1 x_2)^2 + (2.25 - x_1 + x_1 x_2^2)^2 + \dots$ $\dots(2.625 - x_1 + x_1 x_2^3)^2$	0
5	Easom	[-100,100]	2	$f_5(x) = -\cos(x_1)\cos(x_2)\exp(-(x_1 - \pi)^2 - (x_2 - \pi)^2)$	-1
6	Matyas	[-10,10]	2	$f_6(x) = 0.26(x_1^2 + x_2^2) - 0.48x_1 x_2$	0
7	Bohachevsky1	[-100,100]	2	$f_7(x) = x_1^2 + 2x_2^2 - 0.3\cos(3\pi x_1) - 0.4\cos(4\pi x_2) + 0.7$	0
8	Booth	[-10,10]	2	$f_8(x) = (x_1 + 2x_2 - 7)^2 + (2x_1 + x_2 - 5)^2$	0
9	Michalewicz2	[0, $\pi$ ]	2	$f_9(x) = -\sum_{i=1}^D \sin(x_i)(\sin(ix_i^2 / \pi))^{20}$	-1.8013
10	Schaffer	[-100,100]	2	$f_{10}(x) = 0.5 + \frac{\sin^2(\sqrt{x_1^2 + x_2^2}) - 0.5}{(1 + 0.001(x_1^2 + x_2^2))^2}$	0
11	Six Hump Camel Back	[-5,5]	2	$f_{11}(x) = 4x_1^2 - 2.1x_1^4 + \frac{1}{3}x_1^6 + x_1 x_2 - 4x_2^2 + 4x_2^4$	-1.03163
12	Boachevsky2	[-100,100]	2	$f_{12}(x) = x_1^2 + 2x_2^2 - 0.3\cos(3\pi x_1)(4\pi x_2) + 0.3$	0
13	Boachevsky3	[-100,100]	2	$f_{13}(x) = x_1^2 + 2x_2^2 - 0.3\cos(3\pi x_1 + 4\pi x_2) + 0.3$	0
14	Shubert	[-10,10]	2	$f_{14}(x) = \left(\sum_{i=1}^5 i \cos((i+1)x_1 + i)\right) \left(\sum_{i=1}^5 i \cos((i+1)x_2 + i)\right)$	-186.73
15	Colville	[-10,10]	4	$f_{15}(x) = 100(x_1^2 - x_2)^2 + (x_1 - 1)^2 + (x_3 - 1)^2 + 90(x_3^2 - x_4)^2 + \dots$ $\dots 10.1(x_2 - 1)^2 + (x_4 - 1)^2 + 19.8(x_2 - 1)(x_4 - 1)$	0
16	Michalewicz5	[0, $\pi$ ]	5	$f_{16}(x) = -\sum_{i=1}^D \sin(x_i)(\sin(ix_i^2 / \pi))^{20}$	-4.6877
17	Zakharov	[-5,10]	10	$f_{17}(x) = \sum_{i=1}^D x_i^2 + \left(\sum_{i=1}^D 0.5ix_i^2\right)^2 + \left(\sum_{i=1}^D 0.5ix_i^2\right)^4$	0
18	Michalewicz10	[0, $\pi$ ]	10	$f_{18}(x) = -\sum_{i=1}^D \sin(x_i)(\sin(ix_i^2 / \pi))^{20}$	-9.6602
19	Step	[-5.12,5.12]	30	$f_{19}(x) = \sum_{i=1}^D (x_i + 0.5)^2$	0
20	SumSquares	[-10,10]	30	$f_{20}(x) = \sum_{i=1}^D ix_i^2$	0
21	Quartic	[-1.28,1.28]	30	$f_{21}(x) = \sum_{i=1}^D ix_i^4 + \text{Rand}$	0
22	Schwefel 2.22	[-10,10]	30	$f_{22}(x) = \sum_{i=1}^D  x_i  + \prod_{i=1}^D  x_i $	0
23	Schwefel 1.2	[-100,100]	30	$f_{23}(x) = \sum_{i=1}^D \left(\sum_{j=1}^D x_j\right)^2$	0
24	Rosenbrock	[-30,30]	30	$f_{24}(x) = \sum_{i=1}^D 100(x_{i+1} - x_i^2)^2 + (x_i - 1)^2$	0
25	Dixon-Price	[-10,10]	30	$f_{25}(x) = (x_1 - 1)^2 + \sum_{i=2}^D i(2x_i^2 - x_i - 1)^2$	0
26	Ackley	[-32,32]	30	$f_{26}(x) = -20\exp(-0.2\sqrt{\frac{1}{n}\sum_{i=1}^D x_i^2}) - \exp\left(\frac{1}{n}\sum_{i=1}^D \cos(2\pi x_i)\right) + 20 + e$	0

Table 3: Optimization algorithm parameters used in solving benchmark functions in this work

Optimization algorithm	parameters
GA	population size = 50; mutation rate = 0.01; crossover rate = 0.8; generation gap = 0.9
PSO	population size = 50; inertia weight = 0.9-0.7; limit of velocity = $X_{max}/10 - X_{min}/10$
BA	population size = 50; elite bee number = $n/2$ ; best bee number = $n/4$ ; random bee number = $n/4$ ; elite bee neighborhood number = 2, best bee neighborhood number = 1
SWA	Number of main groups = 10; group size = 5; good gang size = 2; local search iteration = 10

For solving these benchmark functions, maximum number of function evaluations greater than  $5 \cdot 10^5$  was not allowed. Also in this algorithm, any value less than  $10^{-12}$  was assumed to be zero. We perform the simulations using Matlab software on a 2GHz laptop. Furthermore, we have tried to use different population sizes from  $n = 10$  to 250, and a fixed population size  $n = 50$  for all simulations were applied. Tuning parameters for ROA was as follow: Population size=50, rain speed=10, rain radius=0.05( $X_{max} - X_{min}$ ), soil adsorption=50%. In addition, the results of the power of various algorithms for solving these benchmark functions are shown in Table 4. As it may be notified, the score and rank of each algorithm is shown in the two last columns of Table 4. Results show the ROA, SWA, BA, PSO and GA algorithms are respectively the best algorithms. In addition, ROA has the best rank with a slight difference compared to SWA.

Table 4: Optimization algorithms performance comparison on benchmark functions

f	D	Min		GA	PSO	BA	SWA	ROA
$f_1(x)$	30	0	Mean	52.92259 (3)	43.9771369 (2)	0 (1)	0 (1)	0 (1)
			SD	4.56486	11.728676	0	0	0
$f_2(x)$	30	0	Mean	1.11 E+03 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	74.21447	0	0	0	0
$f_3(x)$	30	0	Mean	10.63346 (3)	0.01739 (2)	0 (1)	0 (1)	0 (1)
			SD	1.16146	0.02081	0	0	0
$f_4(x)$	2	0	Mean	0 (1)	0 (1)	1.88E-05 (2)	0 (1)	0 (1)
			SD	0	0	1.94E-05	0	0
$f_5(x)$	2	-1	Mean	-1 (1)	-1 (1)	-0.99994 (2)	-1 (1)	-1 (1)
			SD	0	0	4.50E-05	0	0
$f_6(x)$	2	0	Mean	0 (1)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0	0	0	0	0
$f_7(x)$	2	0	Mean	0 (1)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0	0	0	0	0
$f_8(x)$	2	0	Mean	0 (1)	0 (1)	0.00053 (2)	0 (1)	0 (1)
			SD	0	0	0.00074	0	0
$f_9(x)$	2	-1.8013	Mean	-1.8013 (1)	-1.57287 (2)	-1.8013 (1)	-1.8013 (1)	-1.8013 (1)
			SD	0	0.11986	0	0	0
$f_{10}(x)$	2	0	Mean	0.00424 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0.00476	0	0	0	0
$f_{11}(x)$	2	-1.0316	Mean	-1.03163 (1)	-1.03163 (1)	-1.03163 (1)	-1.03163 (1)	-1.03163 (1)
			SD	0	0	0	0	0

$f_{12}(x)$	2	0	Mean	0.06829 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0.07822	0	0	0	0
$f_{13}(x)$	2	0	Mean	0 (1)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0	0	0	0	0
$f_{14}(x)$	2	-186.73	Mean	-186.73 (1)	-186.73 (1)	-186.73 (1)	-186.73 (1)	-186.73 (1)
			SD	0	0	0	0	0
$f_{15}(x)$	4	0	Mean	0.01494 (4)	0 (1)	1.1176 (5)	0.00544 (3)	0.00053 (2)
			SD	0.00736	0	0.46623	0.00063	0.00032
$f_{16}(x)$	5	-4.6877	Mean	-4.64483 (2)	-2.49087 (3)	-4.6877 (1)	-4.6877 (1)	-4.6877 (1)
			SD	0.09785	0.25695	0	0	0
$f_{17}(x)$	10	0	Mean	0.01336 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	0.00453	0	0	0	0
$f_{18}(x)$	10	-9.6602	Mean	-9.49683 (3)	-4.00718 (4)	-9.6602 (1)	-9.61387 (2)	-9.6602 (1)
			SD	0.14112	0.50263	0	0.00236	0
$f_{19}(x)$	30	0	Mean	1.17 E+03 (3)	0 (1)	5.1237 (2)	0 (1)	0 (1)
			SD	76.56145	0	0.39209	0	0
$f_{20}(x)$	30	0	Mean	1.48 E+02 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	12.40929	0	0	0	0
$f_{21}(x)$	30	0	Mean	0.1807 (4)	0.00116 (3)	1.72 E-06 (2)	0 (1)	0 (1)
			SD	0.02712	0.00028	1.85E-06	0	0
$f_{22}(x)$	30	0	Mean	11.0214 (3)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	1.38686	0	0	0	0
$f_{23}(x)$	30	0	Mean	7.40 E+03 (2)	0 (1)	0 (1)	0 (1)	0 (1)
			SD	1.14E+03	0	0	0	0
$f_{24}(x)$	30	0	Mean	1.96 E+05 (3)	15.088617 (2)	28.834 (3)	13.36393 (2)	9.4536 (1)
			SD	3.85E+04	24.170196	0.10597	4.0295	3.4381
$f_{25}(x)$	30	0	Mean	1.22 E+03 (3)	0.66667 (2)	0.66667 (2)	0 (1)	0 (1)
			SD	2.66E+02	E-08	1.16E-09	0	0
$f_{26}(x)$	30	0	Mean	14.67178 (3)	0.16462 (2)	0 (1)	0 (1)	0 (1)
			SD	0.17814	0.49387	0	0	0
<b>Score</b>				<b>48</b>	<b>36</b>	<b>35</b>	<b>30</b>	<b>27</b>
<b>Final Rank</b>				<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>

## 5. Solving a drilling optimization problem using ROA

Today, the cost of oil and gas production is a deterministic factor in the industry (Skjerpen et al., 2018). Therefore, the main objective of drilling optimization is to reduce drilling time and cost. For this purpose there are two main methods, reducing drilling time by selecting optimum drilling parameters before drilling (for example, selecting a suitable drilling fluid or bit) and reducing drilling time by selecting optimum drilling parameters in real-time drilling operations (for example, optimizing weight on bit or pump pressure) (see e.g. Eren and Ozbayoglu, 2010; Payette et al., 2017). For optimizing drilling parameters, there should be an accurate predictive model (Barbosa et al., 2019). This model should be able to relate important drilling parameters (such as rotary bit speed, weight on bit, etc.) to the drilling rate with acceptable accuracy (Soares and Gray, 2019). Despite many efforts for developing an effective model for ROP (analytically or experimentally), the results are not so satisfying (Soares et al., 2016). Therefore, many investigators prefer to employ machine-learning methods (for example, artificial neural networks, genetic algorithms, random forest, etc.) for ROP prediction. (Hornik et al., 1989). Most studies on the comparison between analytical modeling methods and intelligent methods concluded that more accurate models could be obtained using intelligent methods. (for example: Arabjamaloei and Shadizadeh, 2011; Amar and Ibrahim, 2012; Bataee et al., 2014; Hegde et al., 2017). Lots of work on ROP prediction using machine learning (ML) methods can be found in the. The newest method employed for ROP Prediction combines traditional methods with a machine learning method that is called a hybrid method (Yavari et al. 2018). So, ROP prediction methods can be classified as follow (Figure 7):

- ✓ analytical models,
- ✓ statistical models (e.g. multiple regression),
- ✓ machine learning models (e.g. genetic algorithm or artificial neural networks),
- ✓ hybrid models (e.g. combining analytical models with machine learning models).

In this work, we used a new hybrid method for ROP prediction and optimization. In this method, a new analytical model was developed in the first part. Then this model was solved using ROA (the new search model that was described in the previous section).

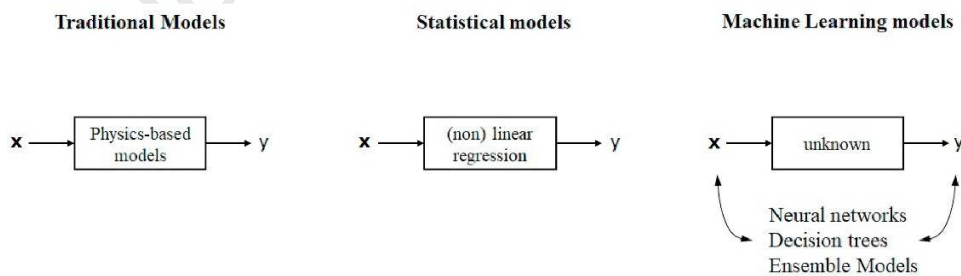


Figure 7: Approaches for ROP modeling (Barbosa et al., 2019).

### 5.1 Developing an analytical model for ROP

A useful method for increasing the drilling rate is to reduce 'mechanical specific energy (MSE)'-the amount of work done for the excavating unit volume of rock- (Teale, 1965). In rotary table drilling, work is done both by the piercing the bit, WOB (lb), and the exerted force while rotation of bit or torque, T (lb-ft). It can be shown that the total work done by bit in one hour in lb-ft is:

$$W = WOB \times ROP + 60 \times 2\pi N \times T \quad (5)$$

Where:

- N is rotation speed in rev/min
- WOB is the weight on the bit in lb
- T is the torque in lb-ft
- ROP is penetration rate in ft/hr
- W is the work done for removing the rock in lb-ft/hr

Therefore the volume of excavated rock in one hour is:

$$V = A \times ROP \quad (6)$$

So, the mechanical specific energy (in lb/in<sup>2</sup>) can be computed by dividing work by volume:

$$MSE = \frac{WOB}{A} + \frac{60 \times 2\pi N \times T}{A \times ROP} \quad (7)$$

Where A is the area of the hole in in<sup>2</sup>

Teale (1965) pointed out that the minimum energy required for cracking a rock in all cases is of the order of the uniaxial compressive strength (UCS) of that rock.

Teale's model for specific energy although was new at that time but contained some significant source of error. Some of these errors were corrected by some researchers, and some of them still are existing. The weakness of Teale model are as follow:

- 1- Teale conducted all his tests under atmospheric conditions, so he underestimated minimum specific energy. Some other researchers by performing more exact experiments show that the minimum energy required for cracking an in-situ rock is of the order of the confined compressive strength (CCS) of that rock.
- 2- Although Teale used surface measured torque for solving his equation but it is clear that surface measured torque is quite different from real exerted torque to the bit. Also measuring exerted torque from bit to the beneath rock has proven difficult.
- 3- Measured WOB in the surface can be completely different from real WOB at the bottom of the hole, especially in deviated and horizontal wells due to the effect of drag and pump-off force of drilling fluid.
- 4- There is not any term for the hydraulic effect of drilling fluid in Teale's equation, but it is clear that the hydraulic power of mud can effect on drilling process especially in soft formations.
- 5- In directional drilling, when a downhole motor is used, exerted torque and RPM by bit are quite different from measured torque and RPM on the surface.
- 6- Effect of bit type and bit efficiency is neglected in the equation but it is obvious that different bits have different efficiencies in the same conditions.
- 7- The effect of bit wear is missing in this equation.
- 8- Drilling problems such as bit balling and drill pipe vibration can effectively reduce the drilling rate and change drilling efficiency that is not seen in the Teal's equation.

Some researchers solve some of the mentioned problems, but some of them are still existing (Table 5).

Table 5: summary of previous researches for optimizing drilling operations

Researcher(s)	Main developed idea or work	Weakness(es) of work
Simon (1963)	Experimentally measured the magnitude of the work required to break out a unit volume of rock.	Did not develop a model for MSE.
Teale (1965)	Developed first equation for computing MSE. Developed a method for ROP optimization using MSE.	T and WOB were used from surface data instead of bottom hole data. The hydraulic effect was missing. Bit efficiency was ignored,...
Bourgoyne and Young (1974)	Developed a comprehensive model for predicting ROP	There were several constants in the equation which should be determined in the various situation
Warren (1987) Winters et al. (1987)	develop models and formulate related parameters to ROP	Could not make an accurate and comprehensive estimation
Pessier and Fear (1992)	Developed a relation for T based on WOB for using in Teale's model. Improved Teale's method for ROP optimization based on MSE.	WOB was used from surface data which can be different from real bottom hole WOB. The hydraulic effect was missing.
Waughman et al., (2003)	Provided a method for including bit wear to the Teale's equation.	The hydraulic effect was missing. Measurement of real WOB was missing
Dupriest (2005)	Included bit mechanical efficiency to the Teale's equation. Improved Teale's method for ROP optimization based on MSE.	Bit efficiency was not exact. The hydraulic effect was missing. Measurement of real WOB was missing
Rahimzadeh et al. (2010) Edalatkhah et al., (2010) Monazami et al. (2012)	Used intelligent methods (ANN) for predicting ROP	Their method was not real-time Solving method was time-consuming Parameter tuning was required for the network
Cherif (2012) Amadi (2012)	Included bit mechanical efficiency to the Teale's equation. Improved Teale's method for ROP optimization based on MSE.	Bit efficiency was not exact. The hydraulic effect was missing. Measurement of real WOB was missing
Chen et al. (2014)	developed a formula between bottom hole weight on bit and surface weight on bit improved Teale's MSE model	The hydraulic effect was missing.
Mohan et al. (2015)	Included hydraulic effect to the Teale's MSE model. Introduced HMSE concept for the first time.	Bit efficiency was not exact.

## 5.2 Improving the model of ROP:

Many researchers try to improve the weaknesses of Teal's equation. Pessier et al., 1992 stated that in rotary-drilling with PDM (Figure 8) the total mechanical work done by the bit in one hour can be estimated by

$$W_t = (WOB_b \times N) + (60 \times 2\pi \times N_s \times T_s) + (60 \times 2\pi \times N_m \times T_m) \quad (8)$$

Where:

$N_s$ : bit rotary speed provided by surface rotation;

$T_s$ : torque at bit provided by surface rotation;

$N_m$ : PDM output rotary speed;

$T_m$ : PDM output torque.



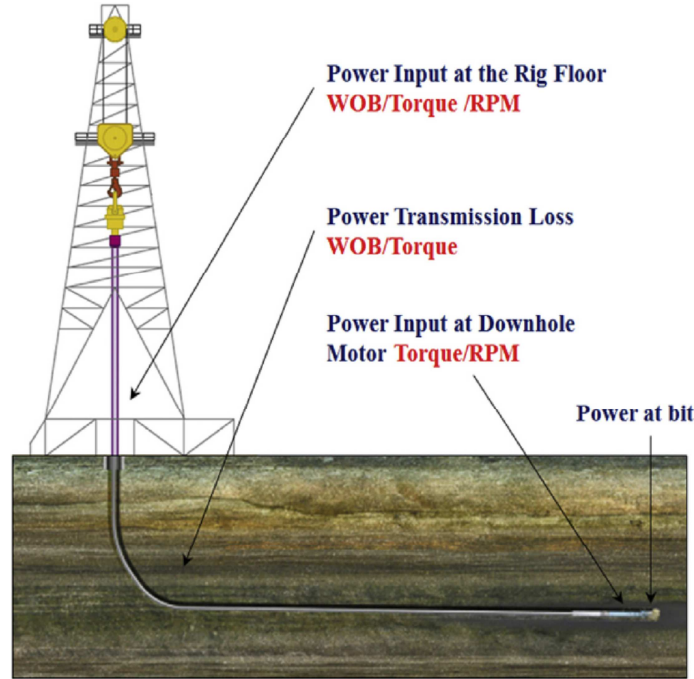


Figure 8: rotary drilling system with PDM (Chen et al., 2016)

Cherif, 2012 stated that every bit has a mechanical efficiency related to its cutter size and structure. Including mechanical efficiency to the Pessier's equation, the mechanical work required to break the rock drilled in 1 hr can be nearly expressed as:

$$W_v = W_t \cdot E_m \quad (9)$$

The volume of rock drilled in 1 hr is

$$V = A \cdot ROP \quad (10)$$

MSE was defined as the mechanical work done to excavate a unit volume of rock (Teale, 1965). By combining Eqs. 8, 9 and 10, then the MSE for rotating drilling with PDM can be expressed by

$$MSE = \frac{W_v}{V} = E_m \cdot \frac{WOB_b \cdot ROP + 60.2\pi \cdot N_s \cdot T_s + 60.2\pi \cdot RPN_m \cdot T_m}{A \cdot ROP} \quad (11)$$

However, the mechanical energy provided by the surface has a significant transmission loss in horizontal and directional drilling. Chen et al. (2014) formulated a relationship between bottom hole WOB and the surface measured WOB and presented a method to calculate torque of bit in directional and horizontal drilling.

Chen et al. (2014) stated that provided mechanical energy on the surface has a great difference with the mechanical energy received by the bit due to friction between pipes and borehole, especially in directional and horizontal drilling. So he formulated a relation between surface measured WOB and bottom hole WOB as well as Surface and bottom hole Torque:

$$WOB_b = WOB \cdot e^{-\mu_s \cdot \gamma_b} \quad (12)$$

$$\mu_b = 36 \frac{T}{D_b \cdot WOB \cdot e^{-\mu_s \cdot \gamma_b}} \quad (13)$$

Then the mechanical specific energy provided by the surface can be estimated as

$$E_m \cdot \frac{WOB_b \cdot ROP + 60.2\pi \cdot N_s \cdot T_s}{A \cdot ROP} \quad (14)$$

$$= E_m \cdot WOB \cdot e^{-\mu_s \cdot \gamma_b} \cdot \left( \frac{1}{A} + \frac{13.33 \cdot \mu_b \cdot N_s}{D_b \cdot ROP} \right)$$

Also According to Equations 13 and 14, Chen et al. (2014) deduced that the mechanical specific energy provided by the PDM can be estimated as

$$E_m \frac{60.2\pi \cdot N_m \cdot T_m}{A \cdot ROP} = E_m \cdot \frac{1155.2 \cdot \eta \cdot \Delta P_m \cdot Q}{A \cdot ROP} \quad (15)$$

Finally, substitute Equations 15 and 14 into Equation 11, Chen et al. (2014) get a new MSE model for rotating drilling with PDM

$$MSE = E_m \cdot \left( WOB \cdot e^{-\mu_s \cdot \gamma_b} \cdot \left( \frac{1}{A} + \frac{13.33 \cdot \mu_b \cdot N_s}{D_b \cdot ROP} \right) + \frac{1155.2 \cdot \eta \cdot \Delta P_m \cdot Q}{A \cdot ROP} \right) \quad (16)$$

Where in this equation:

$\Delta P_m$ : Pressure drop across the PDM, psi

Q: Pump flow rate, gpm

$D_b$ : Bit diameter, in

$\eta$ : Efficiency of PDM

Ns: Drill pipe rotary speed, rpm

$E_m$ : Mechanical efficiency of the bit

$\gamma_b$ : Bit sliding coefficient (between 0.3 and 0.85)

$\mu_s$ : Drill string sliding coefficient (between 0.25 and 0.4)

Although derived equation by Chen, improved lots of weaknesses of Teal's equation, but there are some coefficients ( $E_m$ ,  $\mu_s$ ,  $\gamma_b$ ,  $\mu_b$ ,  $\eta$ ) in this equation that changes in the various situation of drilling. Therefore, ROA was used to find out these coefficients in various conditions of drilling.

Rewriting Equation 16 yields:

$$MSE = E_m \left( \frac{WOB \cdot e^{-\mu_s \cdot \gamma_b}}{A} \right) + \left( \frac{\left( \frac{\pi}{4} \cdot D_b \right) \cdot E_m \cdot 13.33 \cdot \mu_b \cdot WOB \cdot e^{-\mu_s \cdot \gamma_b} \cdot N_s}{A \cdot ROP} \right) + \left( \frac{E_m \cdot 1155.2 \cdot \eta \cdot \Delta P_m \cdot Q}{A \cdot ROP} \right) \quad (17)$$

Letting

$$a_1 = \mu_s \cdot \gamma_b$$

$$a_2 = \left( \frac{\pi}{4} \cdot D_b \right) \cdot 13.33 \cdot \mu_b$$

$$a_3 = 1155.2 \cdot \eta$$

Equation 17 can be summarized as follow:

$$MSE = E_m \left( \left( \frac{WOB \cdot e^{-a_1}}{A} \right) + \left( \frac{a_2 \cdot WOB \cdot e^{-a_1} \cdot N_s}{A \cdot ROP} \right) + \left( \frac{a_3 \cdot \Delta P_m \cdot Q}{A \cdot ROP} \right) \right) \quad (18)$$

As it can be seen in Equation 18, there are some empirical constants named  $a_1$  to  $a_3$  in the model. We will try to find these constants for various situations using ROA.

Rearranging Equation 18 for ROP we have:

$$ROP = \frac{a_2 \cdot N_s \cdot WOB \cdot e^{-a_1} + a_3 \cdot \Delta P_m \cdot Q}{\frac{A \cdot MSE}{E_m} - WOB \cdot e^{-a_1}} \quad (19)$$

### 5.3 A brief discussion on the value of MSE

In order to know the manner in which the MSE works in Equation 19, it is necessary to discuss the method that bits drill the rock and factors that affect the bit performance. Figure 9 shows a typical drill off test in the drilling operations. This curve is divided into three regions.

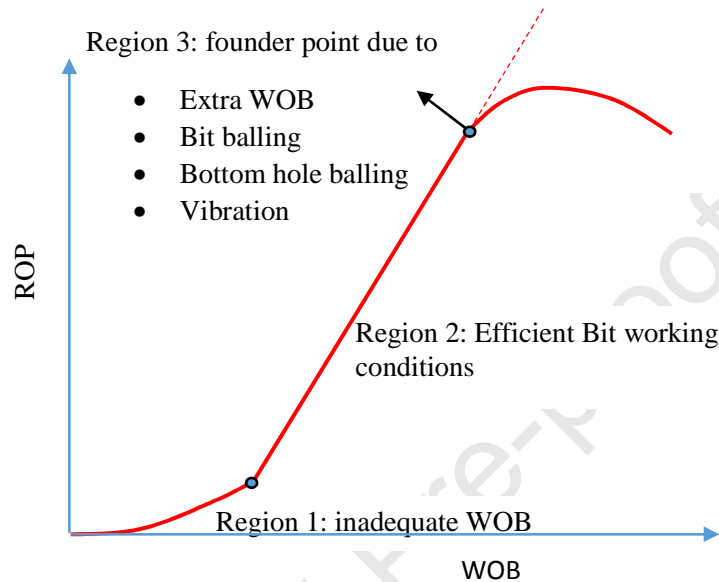


Figure 9: a typical drill off test in drilling operations (Dupriest, 2005)

In region 1, the ROP is very low, and it increases gradually by increasing WOB. In this region, drilling operation is suffering from low WOB and it can be said that operation is nearly stopped. In region 2, there is a linear relation between ROP and WOB. In this section, WOB is so enough that drilling can be started. In this section by increasing WOB, ROP increases linearly. This region continues until region 3 where ROP increase stops and reversely starts to decrease.

Figure 10 shows the typical relation between the depth of cut (equivalent to WOB) and bit efficiency. As the WOB and resulting depth of cut increases, bit efficiency increases. Bit efficiency can be defined as the required energy to remove a specific volume of rock to the actual energy used for drilling this volume. Bits tend to transfer 30% to 40% of their input energy to the rock, even when operating at peak performance (Dupriest et al. 2005).

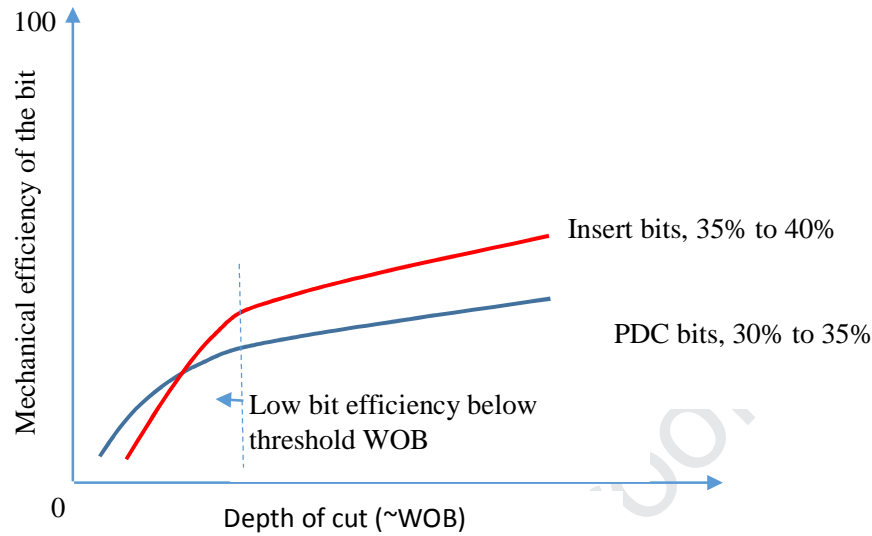


Figure 10: typical relation between depth of cut (equivalent to WOB) and bit efficiency (Pessier, 1992)

In the linear portion of Figure 9, although by increasing WOB, drilling efficiency does not change but the provided energy to the bit would be increased, causing the drilling rate to increase. The slope of the line is constant for a special formation, bit and rotary speed. Figure 11 shows the national relation between the slope of the straight line in the drill off test and the bit type. When a bit is loaded enough to a formation to reach to the linear region, it can transfer only 30% to 40% of its energy to the rock due to friction coefficient. In the best situation, the minimum amount of MSE has a value of the order of confining compressive strength (CCS) of the rock, as it was mentioned by Pessier (1992). Therefore, in the most efficient situation, the minimum amount of MSE is equal to CCS, and just about 35% of the energy is transferred by the bit. This means in Equation 18, we can use CCS instead of MSE. Also, we can suppose the mechanical efficiency of the bit to be 0.35. The amount of CCS can be found from adjacent wells or can be calculated from the log or empirical equations. Therefore, Equation 19 can be rewritten as follow:

$$ROP = \frac{a_2 N_s \cdot WOB \cdot e^{-a_1} + a_3 \Delta p_m \cdot q}{\frac{A \cdot CCS}{0.35} - WOB \cdot e^{-a_1}} \quad (20)$$

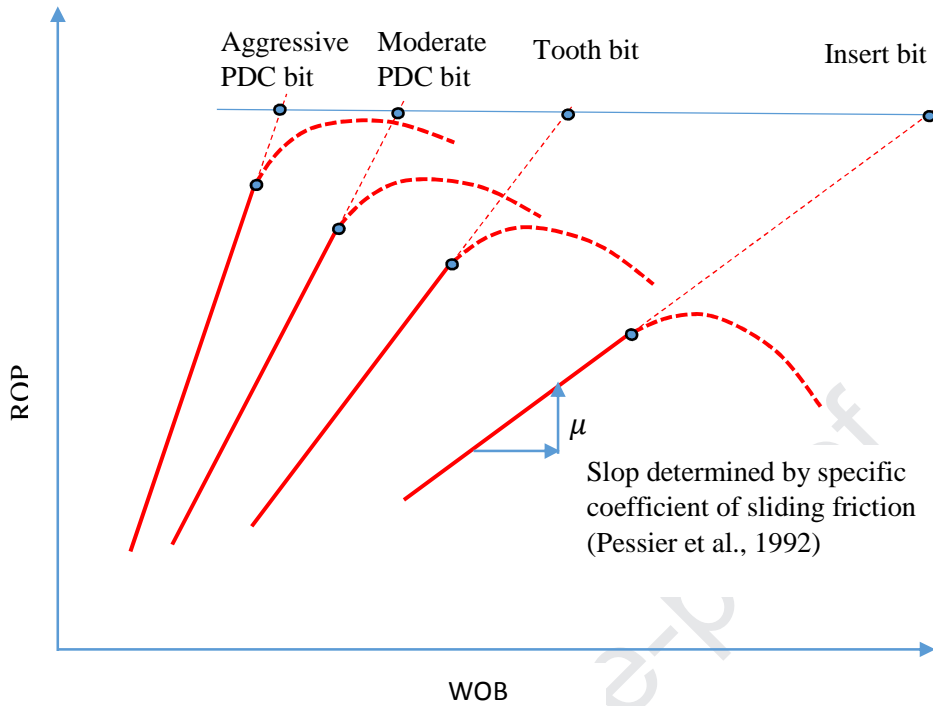


Figure 11: national plot showing that the slop of drill off test is determined by  $\mu$  and RPM, but the maximum ROP is limited by founder point (Dupriest, 2005)

#### 5.4 Solving the developed model using ROA

Maximizing ROP for reducing drilling cost is the permanent objective of researchers in the drilling industry. Many parameters affect the drilling rate, some of them are controllable such as WOB and RPM, and some of them are uncontrollable such as formation type. Changing and optimization of controllable drilling parameters can lead to drilling rate maximization. For optimizing drilling parameters, there should be an exact relation between these parameters and the drilling rate of penetration (ROP). In this section, the Rain Optimization Algorithm would be used for ROP modeling and prediction. Therefore, drilling parameters would be optimized, leading to drilling cost-effectively reduced.

Using mud logging data of a drilling rig in a specific formation, we have ROP, WOB,  $N_s$ ,  $\Delta p_m$ ,  $q$  and CCS in several points. For this work, we used 500 data series in the Asmary formation of one of the Iranian oil fields.

The cost function for the  $i^{\text{th}}$  data set is equal to:

$$Cost_i = ROP_i - \frac{a_2 N_{s_i} \cdot WOB_i \cdot e^{-a_1} + a_3 \Delta p_{m_i} \cdot q_i}{\frac{A \cdot CCS}{0.35} - WOB_i \cdot e^{-a_1}} \quad (21)$$

Moreover, the cost function for the total data can be obtained as follow:

$$Cost = \sqrt{\sum_{i=1}^{50} Cost_i^2} \quad (22)$$

In this case, using ROA, we will find  $a_1$  to  $a_3$  so that the amount of cost minimized. For this work, ROA will guess the amount of the  $a_1$  to  $a_3$  first time and amount of cost in Equation 22 will be calculated. At the next iterations, this algorithm tries to change  $a_1$  to  $a_3$  to reduce the cost.

Therefore, briefly, we used Equation 19 as the main equation. Then in each data point of the data set, the real ROP was compared with the computed ROP by Equation 19 as it can be seen in Equation 20. In Equation 21, it was tried to minimize some of the errors with changing the constants  $a_1$  to  $a_3$ . In the end, by obtaining these constants a special relation for predicting ROP in a certain formation was obtained as it can be seen in Equation 22.

For solving this problem using ROA, the initial population was 100, the minimum amount of each variable was zero, the maximum amount was one and After 100 iteration amount of cost was reduced to  $1e-16$ .

After 100 iterations calculated amount of  $a_1$  to  $a_3$  using ROA were as follow:

$$a_1 = 0.07;$$

$$a_2 = 0.58;$$

$$a_3 = 0.99 \cong 1;$$

Figure 12 shows the process of finding the answer. So the ROP model for this formation can be obtained as follow:

$$ROP = \frac{0.58N_s.WOB.e^{-0.07+\Delta p_m.q}}{\frac{A.CCS}{0.35}-WOB.e^{-0.07}} \quad (23)$$

Having  $A=29.5 \text{ in}^2$  and  $CCS=2000$  psi, ROP equation for this formation will be:

$$ROP = \frac{0.58N_s.WOB.e^{-0.07+\Delta p_m.q}}{\frac{29.5*2000}{0.35}-WOB.e^{-0.07}} \quad (24)$$

This equation can be more simplified as follow:

$$ROP = \frac{0.54N_s.WOB+\Delta p_m.q}{168571-0.93WOB} \quad (25)$$

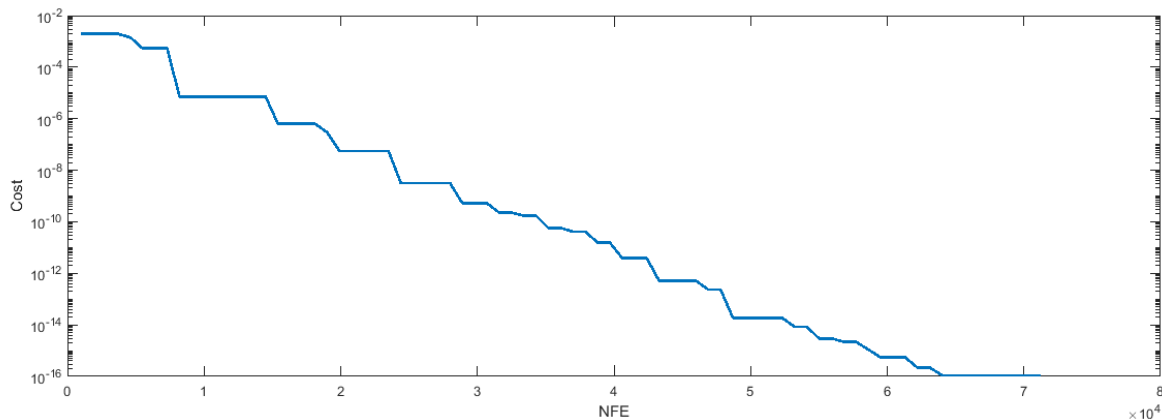


Figure 12: the process of cost function reduction versus the number of function evaluation for solving drilling problem

This formula was tested in another drilling point (at the same formation with the same drilling conditions) with following drilling parameters for obtaining drilling rate:

WOB=9000 lb;

$A=29.5 \text{ in}^2$ ;

$N_s=100 \text{ rpm}$ ;

$\Delta p_m=2000 \text{ psi}$ ;

$q=150 \text{ gpm}$ ;

CCS=2000 psi;

ROP=1.2 ft/hr;

Moreover, the obtained ROP was close to 1.1 as it was expected.

It should be emphasized that Equation 20 was developed for directional drilling using a down hole motor. If it is interested to use this equation in vertical drilling conditions when there is no a down hole motor in the well, letting  $\Delta p_m = 0$  yields:

$$ROP = \frac{a_2 N_s WOB.e^{-a_1}}{\frac{A.CCS}{0.35} - WOB.e^{-a_1}} \quad (26)$$

Equation 26 is simpler than Equation 20 and it is just necessary to find  $a_1$  and  $a_2$  to solve the equation for specific drilling conditions. Equation 20 and 26 can be used for drilling optimization using the developed method by Dupriest (2005) more effectively. Dupriest (2005) stated a method for hydraulics optimization during drilling. It is recommended to optimize Hydraulics using the Dupriest method and optimize WOB and bit RPM using the proposed method in this work.

At the next attempt, we tried to solve Equation 20 using some other metaheuristic algorithms. For this purpose, GA, PSO, BA and SWA with the available parameters in Table 3 (except population number that was set to 100) were used. Table 6 compare the power and exactness of these 4 algorithms with the ROA. As it can be seen from this table, BA could find the answer with almost the same exactness of the ROA but nearly 2 times NFE. PSO, SWA and GA could get the next ranks respectively. Figure 13 shows the process of finding the answer for these four algorithms that can be compared with Figure 12 that shows the process of finding an answer for the ROA.

Table 6: comparison of the speed and exactness of the ROA with BA, PSO, SWA and GA in solving the drilling optimization problem in this work

Algorithm name	NFE	Error	Rank
ROA	64350	1e-16	1
BA	90100	1.7e-15	2
PSO	78500	1.6e-12	3
SWA	145597	1.5e-6	4
GA	63024	2.5e-2	5

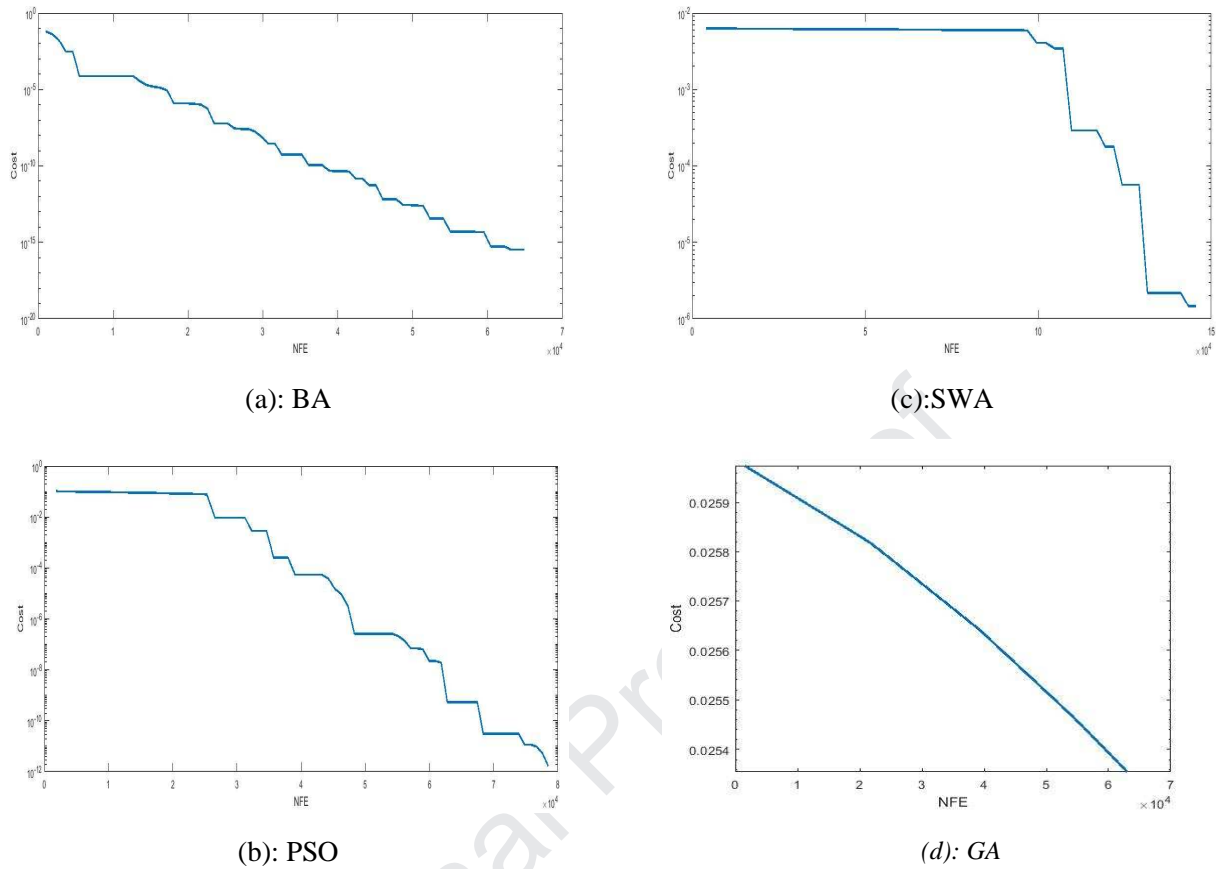


Figure 13: the process of cost function reduction versus the number of function evaluation for solving drilling problem using (a): BA, (b): PSO, (c): SWA, (d): GA.

## 6. Conclusions

In this study, a new metaheuristic optimization algorithm called ROA that was inspired from raining phenomena was introduced and developed in detail. This algorithm was used to solve some important and standard benchmark functions as well as one drilling problem and its performance was compared with the genetic and particle swarm optimization algorithms as well as Sperm Whale and Bat algorithms. Results of this work summarized as follows:

The developed algorithm, in addition to obtaining absolute extremums, was able to obtain local extremums with a high degree of accuracy.

ROA needed a fewer number of cost function evaluations, time and cost to solve most of the problems compared to GA and PSO that is very important in solving complicated engineering problems. Results show that ROA could get ranking 1 between other Compared optimization algorithms.

ROA was used to solve a drilling problem and was able to find the answers very quickly and accurately. Also, the proposed algorithm could reduce the number of function evaluations (NFE) to half of the BA that has the best performance between selected algorithms.

A new hybrid method for developing a new ROP model was introduced. This model was able to predict the drilling rate in directional drilling as well as vertical drilling.



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Rain optimization algorithm (ROA) is a new metaheuristic algorithm, inspired by the raindrops, which move toward minimum points after getting to the earth. This algorithm can find global extremum as well as local extremums if its parameters are correctly tuned. After implementation of this algorithm, we compare it with some other existing optimization algorithm such as genetic algorithm and ant colony algorithm by solving 26 benchmarks and 3 benchmarks in various dimensions as well as a drilling optimization problem. Simulations show that ROA seems more superior to other algorithms in finding the global minimum and also it can find local minimum simultaneously and it can be confidently used in optimization problems.

ROA was used to solve a drilling problem and was able to find the answers very quickly and accurately.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: